

ADDITIONAL KYC FORM FOR OPENING TRADING AND DEMAT ACCOUNT (for Individual & Non-individual)

<input type="checkbox"/> Demat Account	<input type="checkbox"/> Individual Account	<input type="checkbox"/> Non-Individual Account
<input type="checkbox"/> Trading Account	<input type="checkbox"/> Individual Account	<input type="checkbox"/> Non-Individual Account

(To Be Filled By The Depository Participant)

Application No.	DP Internal Reference No.
Date	Client Trading Code
DP ID	Client ID

B. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchange	Segment			
	Cash	FO	Currency	Mutual Fund
BSE	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
NSE	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter have to be submitted by client to the stock broker..

DECLARATION

1. I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me/ us in this form. I/We further agree that any false/misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.
2. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
3. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
4. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.
5. I would like to receive following standard document in Physical Digital mode through on my e-mail id mentioned in the form
 For DP → Rights & Obligation for Bo's Depository participant.
 For Trading → Rights & Obligation Risk disclosure documents Do's & Don'ts.

<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
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Signature of Client/ (all) Authorized Signatory (ies)

OFFICE USE ONLY

UCC Code allotted to the Client _____

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee Autho. Person/ Sub Broker			
Employee Code Autho. Person/ Sub Broker			
Designation of the employee			
Date			
Signature			

I/We undertake that we have made the client aware of Policy and Procedures', tariff sheet and all the nonmandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the Policy and Procedures', tariff sheet and all the onmandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and bligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For Money Spell Private Lintied

Date : _____

Seal / Stamp of stock broker

Director/ Authorised Signatory

INSTRUCTION CHECK LIST

1. Additional documents in case of trading in derivatives segments- illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income- Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. **For individuals:**
 - a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
 - b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the nonresident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, • Magistrate, Judge, Local Banker, Indian Embassy I Consulate General in the country where the client resides may be permitted.
5. **For non-individuals:**
 - a. Form need to be initialized by all the authorized signatories.
 - b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.